

# EXPERTISE

The Newsletter of GBRW Expert Witness

## David Ellis joins GBRW Expert Witness

I am very pleased to let you know that Dr David Ellis, previously a Senior Managing Director at FTI Consulting, has joined GBRW Expert Witness in London as a Director.

David Ellis is an expert in financial services and institutions, specialising in quantitative products and complex securities. He has appeared as an expert witness in Court proceedings and Arbitrations in the English and Irish High Courts and in numerous other jurisdictions in the United States, Europe, Australia, New Zealand and Singapore.

We believe that David's expertise in quantitative products and complex securities will be of strong interest to our clients. His background includes both trading and academic experience and he has testified in almost 50 cases worldwide over the past 15 years. My colleagues and I are delighted that he has joined us.

Paul Rex  
Managing Director,  
GBRW Expert Witness  
July 2016



*I've known Paul Rex and his colleagues at GBRW Expert Witness for several years. There's a very good fit between us and I'm very happy to be joining a company which has such a strong focus on financial sector dispute resolution worldwide.*

David Ellis  
Director, GBRW Expert Witness  
July 2016

## Biographical note

Before joining GBRW Expert Witness, David Ellis was a Senior Managing Director in the Economic and Financial Consulting practice of FTI Consulting's London office where he was head of the Financial Services disputes group. Prior to this, he was a Director with Navigant Consulting and an Associate Director with NERA Economic Consulting. Previously, he was a finance professor at Babson College and Texas A&M University. His institutional experience includes working at Salomon Brothers in Institutional Fixed Income Sales and Trading.

In litigation, David's experience includes the evaluation, valuation and risk of hedge funds, credit, equity and FX derivatives, CDOs, asset backed securities, guaranteed securities, complex cross-border transactions, collars, swaps, and other derivatives; analysis of trading patterns ("best execution"); margin and mark-to-market disputes between hedge funds and their prime brokers; securities lending; assessing broker compliance, including suitability and diversification issues; insurance products; leases, cross-border financings and other complex financial instruments in the context of tax and bankruptcy issues. His work has involved both liability and damage assessments. He has also testified on credit related issues and counterparty risk, including the impact of state aid on financing costs before the World Trade Organisation.

### Enquiries

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## Areas of Expertise include:

### BANKING & FINANCIAL

- Corporate Lending
- Personal Lending
- Risk Management
- Investment Banking
- Corporate Finance
- International Banking
- Correspondent Relationships
- Commercial Property
- Residential Mortgages
- Syndicated Lending
- Loan Workouts
- Recoveries and Realisations
- Back Office Procedures
- Trade Finance
- Letters of Credit
- Leasing
- Credit and Debit Cards
- Know Your Customer
- Anti Money Laundering
- Anti Terrorist Financing

### INVESTMENT MANAGEMENT

- Investment Services
- Investment Advice
- Fund Management
- Structured Investment Funds
- Stockbroking
- Hedge Funds
- Options, Swaps, Derivatives
- Treating Customers Fairly
- Pensions

### FINANCIAL MARKETS

- Money Markets
- Commodities Markets
- Securities Trading
- Financial Instruments

### INSURANCE

- Property Insurance
- Professional Indemnity
- Life Assurance
- Broking
- Underwriting
- Reinsurance
- Regulation
- Actuarial Issues
- Loss Adjustment
- Treating Customers Fairly

### BUSINESS FINANCE

- Limited Companies
- Partnerships
- Private Companies
- Sole Traders
- Mergers & Acquisitions
- Treasury Management
- Sales of Businesses
- Property Finance
- Business Planning
- Company Valuation
- Venture Capital

### OTHER AREAS

- Employment Disputes
- Loss of Earnings
- Compensation Calculations
- Arbitration and Mediation